



## EXCESS CASUALTY RAILROAD CONTRACTORS

Name of Contractor: \_\_\_\_\_

Mailing Address: \_\_\_\_\_

1. Description of operations performed (please give percentages)
  - a. Track removal, including the sale of recovered material "as is" without warranty, express or implied \_\_\_\_%
  - b. Private spur line or industrial sidetrack construction, maintenance or repair \_\_\_\_\_%
  - c. Short line or regional railroad construction, maintenance or repair - freight only - F.R.A. class # 1, 2, and 3 trackage \_\_\_\_\_%
  - d. All other short line, regional or main line railroads - freight only - construction, maintenance or repair \_\_\_\_\_%
  - e. Commuter or passenger lines - private - construction, maintenance or repair \_\_\_\_\_%
  - f. Commuter or passenger lines - public - construction, maintenance or repair \_\_\_\_\_%
  - g. Rerail of wrecked cars, transfer of materials, etc... \_\_\_\_\_%
  - h. Herbicide application on R.O.W. \_\_\_\_\_%
  - i. Clearing of R.O.W., other than by herbicide application \_\_\_\_\_%
  - j. Installation of railroad signals or communications \_\_\_\_\_%
  - k. Other - please describe: \_\_\_\_\_%

2. Has work ever included construction of (use percent of revenues):
  - a. Structural work on railroad bridges?  No  Yes \_\_\_\_\_%
  - b. Structural work on railroad tunnels?  No  Yes \_\_\_\_\_%
  - c. Excavation requiring the use of blasting?  No  Yes \_\_\_\_\_%
3. Does the contractor provide design services?  No  Yes
  - a. Give percentages of contracts involving design \_\_\_\_\_%
  - b. Is design always per F.R.A. specifications?  No  Yes
  - c. Is design always reviewed by Roadmaster of servicing railroad?  No  Yes

Additional Comments: \_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_

4. What is the total contract values each of the last five years?

Year	Contract Value
	\$
	\$
	\$
	\$
	\$

Contract values estimated for coming year? \$ \_\_\_\_\_

5. Attach list of five largest jobs undertaken and completed in past five years (or attach completed project summary or brochure containing this information)

6. Attach a list of jobs presently in progress (or attach work on hand summary)

7. Use of subcontractors:

a. Are certificates of insurance required of all subcontractors?  No  Yes

b. What limits of liability are required on subcontractors' insurance? \$ \_\_\_\_\_

c. Does contractor require Hold Harmless Agreements in his/her favor from all subcontractors?  No  Yes

d. Does contractor require amendment or deletion of Subcontractors Contractual Liability insurance to include work within 50' of a railroad?  No  Yes

8. What class of work is subcontracted?  
 \_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_

Cost of work subcontracted?  
 \$ \_\_\_\_\_  
 \$ \_\_\_\_\_  
 \$ \_\_\_\_\_

9. Is any of the contractor's equipment leased to others?  No  Yes  
 If "Yes," what type of equipment? \_\_\_\_\_  
 With or  without operators?

10. Is lessee required to add contractor as an additional insured under lessee's general liability Insurance?  No  Yes

11. Please describe insured's current safety procedures: \_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_

**GENERAL FRAUD STATEMENT**

**(Not applicable in the states mentioned below where a specific warning applies.)**

Any person who knowingly and with intent to defraud any insurance company or other person files an application for insurance or statement of claim containing any materially false information, or conceals for the purpose of misleading, information concerning any fact material thereto, may be committing a fraudulent insurance act, and may be subject to a civil penalty or fine.

**Arkansas, District of Columbia, Louisiana, Maryland, New Mexico, Rhode Island, West Virginia**

Any person who knowingly and willfully presents a false or fraudulent claim for payment of a loss or benefit or knowingly presents false information in an application for insurance is guilty of a crime and may be subject to fines and confinement in prison.

**Colorado**

It is unlawful to knowingly provide false, incomplete, or misleading facts or information to an insurance company for the purpose of defrauding or attempting to defraud the company. Penalties may include imprisonment, fines, denial of insurance and civil damages. Any insurance company or agent of an insurance company who knowingly provides false, incomplete, or misleading facts or information to a policyholder or claimant for the purpose of defrauding or attempting to defraud the policyholder or claimant with regard to a settlement or award payable from insurance proceeds shall be reported to the Colorado Division of Insurance within the Department of Regulatory Agencies.

**Florida**

Any person who knowingly and with intent to injure, defraud, or deceive any insurer files a statement of claim containing any false, incomplete, or misleading information is guilty of a felony of the third degree.

**Kentucky**

Any person who knowingly and with intent to defraud any insurance company or other person files an application for insurance containing any materially false information or conceals, for the purpose of misleading, information concerning any fact material thereto commits a fraudulent insurance act, which is a crime.

**New Jersey**

Any person who includes any false or misleading information on an application for an insurance policy is subject to criminal and civil penalties.

**New York**

Any person who knowingly makes or knowingly assists, abets, solicits or conspires with another to make a false report of the theft, destruction, damage or conversion of any motor vehicle to a law enforcement agency, the department of motor vehicles or an insurance company, commits a fraudulent insurance act, which is a crime, and shall also be subject to a civil penalty not to exceed five thousand dollars and the value of the subject motor vehicle or stated claim for each violation.

**Ohio**

Any person who, with intent to defraud or knowing that he is facilitating a fraud against an insurer, submits an application or files a claim containing a false or deceptive statement is guilty of insurance fraud.

**Oklahoma**

WARNING: Any person who knowingly, and with intent to injure, defraud or deceive any insurer, makes any claim for the proceeds of an insurance policy containing any false, incomplete or misleading information is guilty of a felony.

**Pennsylvania**

Any person who knowingly and with intent to defraud any insurance company or other person files an application for insurance or statement of claim containing any materially false information or conceals for the purpose of misleading, information concerning any fact material thereto commits a fraudulent insurance act, which is a crime and subjects such person to criminal and civil penalties.

**Maine, Tennessee, Virginia, Washington**

It is a crime to knowingly provide false, incomplete or misleading information to an insurance company for the purpose of defrauding the company. Penalties include imprisonment, fines and denial of insurance benefits.

**Signatures**

I declare to the best of my knowledge that all statements herein are true and no material facts have been suppressed or misstated. I am also aware that my operation may be inspected by the Insurance Company.

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Applicant's Signature

Date

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Agent's or Broker's Name (Please print)

Telephone Number

Agents Signature

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License No.

Date